

Annexure A
Form No. MGT-9
EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31.03.2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies
(Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i)	CIN	L50100MH1985PLC036937
ii)	Registration Date	23/07/1985
iii)	Name of the Company	SHARDUL SECURITIES LIMITED
iv)	Category / Sub-Category of the Company	Company limited by shares/ Indian Non-Government Company
v)	Address of the Registered office and contact details	G-12, TULSIANI CHAMBERS, 212, NARIMAN POINT, MUMBAI – 400 021. Tel. No.: 40090500 Fax No.: 22846585
vi)	Whether listed company	Yes
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	Link Intime India Private Ltd Address: C-101, 247 Park, LBS Marg, Vikhroli West, Mumbai – 400 083 Tel. No: +91 22 49186270 Fax: +91 22 49186060 E mail: rnt.helpdesk@linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Investment activity	643	84.19
2	Lending Activity	649	15.81

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

Sr. No	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1	Shriyam Broking Intermediary Limited. Add - 712-713, Tulsiani Chambers, 212, Nariman Point Mumbai 400 021	U67120MH1994PLC081401	Subsidiary Company	100%	Section 2 (87)
2	Shriyam Realtors Private Limited Add - 9 Metro Com Centre 1st Floor, B/H Gujarat High Court, Ashram Road, Ahmedabad – 380009	U65999GJ2016PTC094725	Subsidiary Company (of Shriyam Broking Intermediary Limited)	100%	Section 2 (87)

IV. SHAREHOLDING PATTERN (Equity Share Capital Break up as % to total Equity)

(i) Category-wise Shareholding

Sr No	Category of Shareholders	Shareholding at the beginning of the year - 2018				Shareholding at the end of the year - 2019				% change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(A)	Shareholding of Promoter and Promoter Group									
[1]	Indian									
(a)	Individuals / Hindu Undivided Family	9570107	0	9570107	54.6912	9570107	0	9570107	54.6912	0.0000
(b)	Central Government / State Government(s)	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Financial Institutions / Banks	0	0	0	0.0000	0	0	0	0.0000	0.0000
(d)	Any Other (Specify)									
	Bodies Corporate	3525700	0	3525700	20.1487	3525700	0	3525700	20.1487	0.0000
	Sub Total (A)(1)	13095807	0	13095807	74.8399	13095807	0	13095807	74.8399	0.0000

Sr No	Category of Shareholders	Shareholding at the beginning of the year - 2018				Shareholding at the end of the year - 2019				% change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
[2]	Foreign									
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0.0000	0	0	0	0.0000	0.0000
(b)	Government	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Institutions	0	0	0	0.0000	0	0	0	0.0000	0.0000
(d)	Foreign Portfolio Investor	0	0	0	0.0000	0	0	0	0.0000	0.0000
(e)	Any Other (Specify)									
	Sub Total (A)(2)	0	0	0	0.0000	0	0	0	0.0000	0.0000
	Total Shareholding of Promoter and Promoter Group(A)=(A)(1)+(A)(2)	13095807	0	13095807	74.8399	13095807	0	13095807	74.8399	0.0000
(B)	Public Shareholding									
[1]	Institutions									
(a)	Mutual Funds / UTI	0	0	0	0.0000	0	0	0	0.0000	0.0000
(b)	Venture Capital Funds	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Alternate Investment Funds	0	0	0	0.0000	0	0	0	0.0000	0.0000
(d)	Foreign Venture Capital Investors	0	0	0	0.0000	0	0	0	0.0000	0.0000
(e)	Foreign Portfolio Investor	0	0	0	0.0000	0	0	0	0.0000	0.0000
(f)	Financial Institutions / Banks	0	0	0	0.0000	0	0	0	0.0000	0.0000
(g)	Insurance Companies	0	0	0	0.0000	0	0	0	0.0000	0.0000
(h)	Provident Funds/ Pension Funds	0	0	0	0.0000	0	0	0	0.0000	0.0000
(i)	Any Other (Specify)									
	Sub Total (B)(1)	0	0	0	0.0000	0	0	0	0.0000	0.0000
[2]	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0
	Sub Total (B)(2)	0	0	0	0.0000	0	0	0	0	0
[3]	Non-Institutions									
(a)	Individuals									
(i)	Individual shareholders holding nominal share capital upto Rs. 1 lakh.	942615	635705	1578320	9.0198	900651	570605	1471256	8.4079	-0.6119
(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	664302	80100	744402	4.2541	735346	80100	815446	4.6601	0.4060
(b)	NBFCs registered with RBI	0	0	0	0.0000	0	0	0	0.0000	0.0000
(c)	Employee Trusts	0	0	0	0	0	0	0	0.0000	0.0000
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0.0000	0.0000
(e)	Any Other (Specify)									
	*IEPF	237587	0	237587	1.3578	272737	0	272737	1.5586	0.2008
	Hindu Undivided Family	52670	0	52670	0.3010	48566	0	48566	0.2775	-0.0235
	Non Resident Indians (Non Repat)	2283	0	2283	0.0130	4676	0	4676	0.0267	0.0137
	Non Resident Indians (Repat)	415	0	415	0.0024	206	0	206	0.0012	-0.0012
	Clearing Member	8629	0	8629	0.0493	6101	0	6101	0.0349	-0.0144
	Bodies Corporate	1775020	3300	1778320	10.1627	1782538	1100	1783638	10.1931	0.0304
	Sub Total (B)(3)	3683521	719105	4402626	25.1601	3750821	651805	4402626	25.1601	0.0000
	Total Public Shareholding(B)=(B)(1)+(B)(2)+(B)(3)	3683521	719105	4402626	25.1601	3750821	651805	4402626	25.1601	0.0000
	Total (A)+(B)	16779328	719105	17498433	100.0000	16846628	651805	17498433	100.0000	0.0000
(C)	Non Promoter - Non Public									
[1]	Custodian/DR Holder	0	0	0	0.0000	0	0	0	0.0000	0.0000
[2]	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0.0000	0	0	0	0.0000	0.0000
	Total (A)+(B)+(C)	16779328	719105	17498433	100.0000	16846628	651805	17498433	100.0000	

*The voting rights on these shares shall remain frozen till the rightful owner claims the shares. (Refer to Section 124 of the Companies Act, 2013)

(ii) Shareholding of Promoters

Sr No	Shareholder's Name	Shareholding at the beginning of the year - 2018			Shareholding at the end of the year - 2019			% change in shareholding during the year
		No of shares held	% of total shares of the company	% of shares pledged / encumbered to total shares	No. of shares held	% of total shares of the company	% of shares pledged encumbered to total shares	
1	GAGAN D CHATURVEDI	2836185	16.2082	0.0000	2836185	16.2082	0.0000	0.0000
2	RAJESH D CHATURVEDI	2605586	14.8904	0.0000	2605586	14.8904	0.0000	0.0000
3	A TO Z BROKING SERVICES LLP	2118400	12.1062	0.0000	2118400	12.1062	0.0000	0.0000
4	DEVESH D CHATURVEDI	1902419	10.8719	0.0000	1902419	10.8719	0.0000	0.0000
5	BRIJESH D CHATURVEDI	999867	5.7140	0.0000	999867	5.7140	0.0000	0.0000
6	SHRIYAM COMMODITIES INTERMEDIARY LLP	932000	5.3262	0.0000	932000	5.3262	0.0000	0.0000
7	PRADEEP SANDEEP CORPORATE ADVISORS LLP	475000	2.7145	0.0000	475000	2.7145	0.0000	0.0000
8	MANI D CHATURVEDI	350450	2.0028	0.0000	350450	2.0028	0.0000	0.0000
9	BABITA D CHATURVEDI	169600	0.9692	0.0000	169600	0.9692	0.0000	0.0000
10	SHRUTI G CHATURVEDI	136000	0.7772	0.0000	136000	0.7772	0.0000	0.0000
11	VARSHA R CHATURVEDI	136000	0.7772	0.0000	136000	0.7772	0.0000	0.0000
12	RAGHAV R CHATURVEDI	131100	0.7492	0.0000	131100	0.7492	0.0000	0.0000
13	RAMYA R CHATURVEDI	127500	0.7286	0.0000	127500	0.7286	0.0000	0.0000
14	MOHINI G CHATURVEDI	99700	0.5698	0.0000	99700	0.5698	0.0000	0.0000
15	RAJESH DINANATH	75700	0.4326	0.0000	75700	0.4326	0.0000	0.0000
16	KAMVAN CONSTRUCTION PRIVATE LIMITED	300	0.0017	0.0000	300	0.0017	0.0000	0.0000
	Total	13095807	74.8399	0.0000	13095807	74.8399	0.0000	0.0000

(iii) Change in Promoter's Shareholding

Sr No.	Name & Type of Transaction	Shareholding at the beginning of the year - 2018		Cumulative Shareholding at the end of the year - 2019	
		No. of shares held	% Of total shares of the company	No. of shares held	% Of total shares of the company
1	GAGAN DINANATH CHATURVEDI	2836185	16.2082	2836185	16.2082
	AT THE END OF THE YEAR			2836185	16.2082
2	RAJESH D CHATURVEDI	2605586	14.8904	2605586	14.8904
	AT THE END OF THE YEAR			2605586	14.8904
3	A TO Z BROKING SERVICES LLP	2118400	12.1062	2118400	12.1062
	AT THE END OF THE YEAR			2118400	12.1062
4	DEVESH D CHATURVEDI	1902419	10.8719	1902419	10.8719
	AT THE END OF THE YEAR			1902419	10.8719
5	BRIJESH D CHATURVEDI	999867	5.7140	999867	5.7140
	AT THE END OF THE YEAR			999867	5.7140
6	SHRIYAM COMMODITIES INTERMEDIARY LLP	932000	5.3262	932000	5.3262
	AT THE END OF THE YEAR			932000	5.3262
7	PRADEEP SANDEEP CORPORATE ADVISORS LLP	475000	2.7145	475000	2.7145
	AT THE END OF THE YEAR			475000	2.7145
8	MANI D CHATURVEDI	350450	2.0028	350450	2.0028
	AT THE END OF THE YEAR			350450	2.0028
9	BABITA D CHATURVEDI	169600	0.9692	169600	0.9692
	AT THE END OF THE YEAR			169600	0.9692
10	SHRUTI GAGAN CHATURVEDI	136000	0.7772	136000	0.7772
	AT THE END OF THE YEAR			136000	0.7772
11	VARSHA R CHATURVEDI	136000	0.7772	136000	0.7772
	AT THE END OF THE YEAR			136000	0.7772
12	RAGHAV R CHATURVEDI	131100	0.7492	131100	0.7492
	AT THE END OF THE YEAR			131100	0.7492
13	RAMYA RAJESH CHATURVEDI	127500	0.7286	127500	0.7286
	AT THE END OF THE YEAR			127500	0.7286
14	MOHINI G CHATURVEDI	99700	0.5698	99700	0.5698
	AT THE END OF THE YEAR			99700	0.5698
15	RAJESH DINANATH	75700	0.4326	75700	0.4326
	AT THE END OF THE YEAR			75700	0.4326
16	KAMVAN CONSTRUCTION PRIVATE LIMITED	300	0.0017	300	0.0017
	AT THE END OF THE YEAR			300	0.0017

Note:

1. Paid up Share Capital of the Company (Face Value Rs. 10.00) at the end of the year is 17498433 Shares.
2. The details of holding has been clubbed based on PAN.
3. % of total Shares of the Company is based on the paid up Capital of the Company at the end of the Year.

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

Sr No.	Name & Type of Transaction	Shareholding at the beginning of the year - 2018		Transactions during the year			Cumulative Shareholding at the end of the year - 2019	
		NO.OF SHARES HELD	% OF TOTAL SHARES OF THE COMPANY	DATE OF TRANSACTION	Increase/ Decrease in Shareholding	Reason	NO OF SHARES HELD	% OF TOTAL SHARES OF THE COMPANY
1	ANGULAR TRADING PVT LTD	981843	5.611			Nil Movement during the year	981843	5.611
	AT THE END OF THE YEAR						981843	5.611
2	BHASKAR ARVIND HINGAD	266635	1.5238	13 Apr 2018	751	Transaction in open Market	266635	1.5238
	MARKET PURCHASE						267386	1.5281
	MARKET PURCHASE			20 Apr 2018	3237		270623	1.5466
	MARKET PURCHASE			04 May 2018	530		271153	1.5496
	MARKET PURCHASE			08 Jun 2018	3145		274298	1.5676
	MARKET PURCHASE			22 Jun 2018	3942		278240	1.5901
	MARKET PURCHASE			30 Jun 2018	4696		282936	1.6169
	MARKET PURCHASE			06 Jul 2018	1600		284536	1.6261
	MARKET PURCHASE			13 Jul 2018	240		284776	1.6274
	MARKET PURCHASE			20 Jul 2018	10632		295408	1.6882
	MARKET PURCHASE			27 Jul 2018	9364		304772	1.7417
	MARKET PURCHASE			03 Aug 2018	355		305127	1.7437
	MARKET PURCHASE			10 Aug 2018	2488		307615	1.7580
	MARKET PURCHASE			17 Aug 2018	2665		310280	1.7732
	MARKET PURCHASE			31 Aug 2018	2007		312287	1.7847
	MARKET PURCHASE			07 Sep 2018	945		313232	1.7901
	MARKET PURCHASE			14 Sep 2018	100		313332	1.7906
	MARKET PURCHASE			21 Sep 2018	606		313938	1.7941
	MARKET PURCHASE			19 Oct 2018	4195		318133	1.8181
	MARKET SELL			09 Nov 2018	-300633		17500	0.1000
	MARKET PURCHASE			28 Dec 2018	329034		346534	1.9804
	MARKET PURCHASE			31 Dec 2018	283		346817	1.9820
	MARKET PURCHASE			04 Jan 2019	984		347801	1.9876
	MARKET PURCHASE			11 Jan 2019	200		348001	1.9888
	MARKET PURCHASE			18 Jan 2019	499		348500	1.9916
	MARKET PURCHASE			25 Jan 2019	4480		352980	2.0172
	MARKET PURCHASE			01 Feb 2019	4616		357596	2.0436
	MARKET PURCHASE			08 Feb 2019	100		357696	2.0442
	MARKET PURCHASE			15 Feb 2019	938		358634	2.0495
	MARKET PURCHASE			01 Mar 2019	1499		360133	2.0581
	MARKET PURCHASE			15 Mar 2019	1865		361998	2.0687
	AT THE END OF THE YEAR						361998	2.0687
3	GLENMORD COMMERCIAL SERVICES PVT LTD	200000	1.143	-	-	Nil Movement during the year	200000	1.143
	AT THE END OF THE YEAR						200000	1.143
4	RECKON TRADING PRIVATE LIMITED	185728	1.0614	09 Nov 2018	-4451	Transaction in open Market	181277	1.036
	MARKET SELL							
	MARKET PURCHASE			31 Dec 2018	4451		185728	1.0614
	AT THE END OF THE YEAR						185728	1.0614

Sr No.	Name & Type of Transaction	Shareholding at the beginning of the year - 2018		Transactions during the year			Cumulative Shareholding at the end of the year - 2019	
		NO.OF SHARES HELD	% OF TOTAL SHARES OF THE COMPANY	DATE OF TRANSACTION	Increase/ Decrease in Shareholding	Reason	NO OF SHARES HELD	% OF TOTAL SHARES OF THE COMPANY
5	DHANASTRA FINANCE AND INVESTMENT COMPANY PVT LTD AT THE END OF THE YEAR	184960	1.057	-	-	Nil Movement during the year	184960	1.057
6	KAMAL MAVJI VISARIA AT THE END OF THE YEAR	124590	0.712	-	-	Nil Movement during the year	124590	0.712
7	SHARDA SHARES AND SECURITIES PVT LTD AT THE END OF THE YEAR	113750	0.6501	-	-	Nil Movement during the year	113750	0.6501
8	JAGDISH AMRITLAL SHAH AT THE END OF THE YEAR	50000	0.2857	-	-	Nil Movement during the year	50000	0.2857
9	NANDKISHOR CHATURVEDI AT THE END OF THE YEAR	41367	0.2364	-	-	Nil Movement during the year	41367	0.2364
10	BRIJANAND CHATURVEDI AT THE END OF THE YEAR	35000	0.2000	-	-	Nil Movement during the year	35000	0.2000

Note: 1. Paid up Share Capital of the Company (Face Value Rs. 10.00) at the end of the year is 17498433 Shares.

2. The details of holding has been clubbed based on PAN.

3. % of total Shares of the Company is based on the paid up Capital of the Company at the end of the Year.

(v) Shareholding of Directors and Key Managerial Personnel:

Sr no.	Name & type of transaction	Shareholding at the beginning of the year - 2018		Transactions during the year			Cumulative shareholding at the end of the year - 2019	
		No.Of shares held	% Of total shares of the company	Date of transaction	Increase/ decrease in shareholding	Reason	No of shares held	% Of total shares of the company
1	Devesh Vasavada	0	0	01-04-2018	0	Nil Movement during the year	0	0
		0	0	31-03-2019	0			
2	Yogendra Chaturvedi	0	0	01-04-2018	0	Nil Movement during the year		
		0	0	31-03-2019			0	0
3	*K M Shah	0	0	01-04-2018	0	Nil Movement during the year		
		0	0	31-03-2019			0	0
4	Charul abuwala	0	0	01-04-2018	0	Nil Movement during the year		
		0	0	31-03-2019			0	0
5	R Sundaresan	0	0	01-04-2018	0	Nil Movement during the year		
		0	0	31-03-2019			0	0
6	Prashant Chaturvedi	0	0	01-04-2018	0	Nil Movement during the year		
		0	0	31-03-2019			0	0
7	Daya Bhalia	0	0	01-04-2018	0	Nil Movement during the year		
		0	0	31-03-2019			0	0

*Mr. K M Shah has resigned from post of Independent Director with effect from 01st April, 2019.

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
Change in Indebtedness during the financial year				
· Addition	Nil	Nil	Nil	Nil
· Reduction				
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount	Nil	Nil	Nil	Nil
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	Nil	Nil	Nil	Nil

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager :

(Rs. In Lakhs)

S. no.	Particulars of Remuneration	Name of MD/ WTD/ Manager	Name of MD/WTD/ Manager	Name of MD/ WTD/ Manager	Total Amount
		Mr. R Sundaresan	Mr. Yogendra Chaturvedi	Ms. Daya Bhalia	
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	6.50	13.67	10.78	30.95
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	Nil	Nil	Nil	Nil
2.	Stock Option	Nil	Nil	Nil	Nil
3.	Sweat Equity	Nil	Nil	Nil	Nil
4.	Commission - as % of profit - others, specify...	Nil	Nil	Nil	Nil
5.	Others, please specify	Nil	Nil	Nil	Nil
	Total (A)	6.50	14.17	10.78	30.95
	Ceiling as per the Act	In terms of the provisions of the Companies Act, 2013, the total remuneration payable to Whole time Directors shall not exceed 10% of the net profit of the company calculated as per section 198 of the Companies Act, 2013 and the same is within limit.			

B. Remuneration to other directors

(Rs. In Lakhs)

Sl. no.	Particulars of Remuneration	Name of Directors			Total Amount
		Devesh Vasavada	Kantilal Shah	Charul Abuwala	
1	Independent Directors · Fee for attending board committee meetings · Commission · Others, please specify	0.27 -	0.45 -	0.52 -	1.24 -
	Total (1)	0.27	0.45	0.52	1.24
2	Other Non- Executive Directors · Fee for attending board committee meetings · Commission · Others, please specify	- -	- -	- -	- -
	Total (2)	-	-	-	-
	Total (B)=(1+2)	0.27	0.45	0.52	1.24
	Total Managerial Remuneration (A+B)				32.19
	Overall Ceiling as per the Act	In terms of the provisions of the Companies Act, 2013, the total remuneration payable to all Directors shall not exceed 11% of the net profit of the company calculated as per section 198 of the Companies Act, 2013 except that the remuneration of directors shall not be deducted from the gross profit and the same is within limit.			

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD:

(Rs. In Lakhs)

Sl. no.	Particulars of Remuneration	Key Managerial Personnel			
		CEO Mr. Yogendra Chaturvedi who is also Executive Director	CFO Mr. Prashant Chaturvedi	CS Daya Bhalia who is also Executive Director	Total
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	13.67	12.78	10.78	37.23
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil	Nil	Nil	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil	Nil	Nil	Nil
2.	Stock Option	Nil	Nil	Nil	Nil
3.	Sweat Equity	Nil	Nil	Nil	Nil
4.	Commission - as % of profit - others, specify.	Nil	Nil	Nil	Nil
5.	Others, please specify	Nil	Nil	Nil	Nil
	Total	13.67	12.78	10.78	37.23

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD/ NCLT/COURT)	Appeal made, if any (give Details)
A. COMPANY					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
B. DIRECTORS & OTHER OFFICERS IN DEFAULT					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil